



IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chau

Serial No.: 10/711,682

Art Unit: 2879

Filed: 9/30/2004

Examiner: P. Macchiarolo

For: High CRI Electroluminescent Lamp

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on

2/9/2006

Robert F. Clark

Robert F. Clark Reg. No. 33,853

Information Disclosure Statement

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicant herewith submits the publications and/or patents shown in the attached form PTO-1449 for consideration by the Examiner. EP 1605028 is being filed as an English language counterpart to WO 2004/081140. Only the front page of WO 03/080764 (Japanese language) is being filed because of the large number of pages. This is the PCT priority application for U.S. Patent Publication 2004/0135504 and was published (10/2/2003) approximately two months prior to the filing of the U.S. application (11/24/03).

The filing of this disclosure statement shall not be construed as [1] a representation that a search has been made (37 C.F.R. §1.97(g)), [2] an admission that the information cited is, or is considered to be, material to patentability or [3] that no other material information exists.

This statement is being filed before the mailing of a first Office Action on the merits.

Respectfully submitted,

Robert F. Clark
Robert F. Clark
Reg. No. 33,853

OSRAM SYLVANIA Inc.
100 Endicott St.
Danvers, MA 01923

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO.
04-2-410 10/711,682

APPLICANT

Chau

FILING DATE

9/30/2004

GROUP

2879



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	2004/0135504	7/15/2004	Tamaki et al.	313	512	11/24/2003
	2005/0156496	7/21/2005	Takashima et al.	313	237	9/16/2004

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	EP 1605028	12/14/2005	Europe	C09K	11/02		
	WO 2004/081140	9/23/2004	PCT	C09K	11/02		X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1 WO 03/080764 A1, front page only (10/2/2003)

2

3

Examiner _____ Date Considered _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.